

Application and Agreement to Participate in Proprietary Fingerprint Template III

Last Updated: 10 May 2019

1 Who Should Participate

- 1.1. Organizations that develop commercially-available, mature prototype, and/or research fingerprint feature extraction and matching software ("*Organizations*") and that have not participated in the National Institute of Standards and Technology (NIST) Proprietary Fingerprint Template III ("*PFT III*") test for at least 90 days after the completion date of the Organization's last participation in PFT III.
- 1.2. Anonymous participation will not be permitted. This means that signatories to this document, *Application and Agreement to Participate in Proprietary Fingerprint Template III* ("*Agreement*"), acknowledge that they understand that the results (see Section 6) of the test will be published with attribution to their Organization.

2 How to Participate

- 2.1. In order to participate in PFT III, an Organization must provide the information requested in Section 8 of this Agreement identifying the Responsible Party and the Point of Contact. The Organization must print, sign, and then send this Agreement to the location designated in Section 8.
 - 2.1.1. The Responsible Party is an individual with the authority to commit the Organization to the terms in this Agreement.
 - 2.1.2. The Point of Contact is an individual within the Organization with detailed knowledge of the Participant's Submission (see Item 2.3).
- 2.2. Upon receipt of the signed Agreement by NIST, the Organization will be classified as a "*Participant*" in PFT III.
- 2.3. Participant shall provide a single submission ("*Submission*"), as specified in the document *Proprietary Fingerprint Template III — Test Plan and Application Programming Interface (API)* ("*Test Plan*") available at <https://pages.nist.gov/pft/doc/pftiii/testplan.pdf>. A Submission shall include all executable code, validation files, configuration files, documentation, and all other files required by NIST and Participant to validate and execute the tests specified in the Test Plan.
- 2.4. The Submission need not be used in a production system or be commercially available. However, the Submission must be, at a minimum, a stable implementation capable of conforming to the Test Plan.
- 2.5. Digital files in the Submission must be encrypted before transmitting to NIST. Instructions for transmission can be found on the *Participation* section of the PFT III website, <https://nist.gov/itl/iad/image-group/pftiii>. Generic encryption instructions can be found in the Image Group's *Encrypting Software for Transmission to NIST* document available at <https://nist.gov/itl/iad/image-group/products-and-services/encrypting-softwaredata-transmission-nist>. A box for Participant's public key fingerprint is included on the Agreement. Submissions that are not signed and encrypted with the public key fingerprint listed on the Agreement will not be accepted.
- 2.6. Submissions must be compliant with the Test Plan, NIST test hardware, and NIST test software.

3 Points of Contact

- 3.1. The PFT III Liaison is the U.S. Government point of contact for PFT III.

- 3.2. All correspondence should be directed to `pft@nist.gov`, which will be received by the PFT III Liaison and other PFT III personnel.
- 3.3. Questions and answers addressed to the PFT III Liaison may be posted on the *Frequently Asked Questions* (FAQ) section of the PFT III website at the discretion of the PFT III Liaison.

4 Access to PFT III Validation Data

- 4.1. The PFT III Validation Dataset is supplied to Participant to assist in preparing for PFT III.
- 4.2. The fingerprints in the PFT III Validation Dataset are representative of the PFT III Test Data only in format. Image quality, collection device, and other characteristics may vary between the Validation and Test Datasets.

5 Access to PFT III Test Data

- 5.1. Participant will not have access to PFT III Test Data.

6 Release of PFT III Results

- 6.1. After the completion of PFT III testing, the U.S. Government will publish all results obtained, along with the Organization's name, on the PFT III website.
- 6.2. Participants will be notified of their results via the Responsible Party and the Point of Contact provided on the Agreement.
- 6.3. After the release of PFT III results, Participant may use the results for their own purposes. Such results shall be accompanied by the following phrase: "Results shown from NIST do not constitute an endorsement of any particular system, product, service, or company by the U.S. Government." Such results shall also be accompanied by the Internet address (URL) of the PFT III website (<https://nist.gov/itl/iad/image-group/pftiii>).

7 Additional Information

- 7.1. Any data obtained during PFT III, as well as any documentation required by the U.S. Government from Participant (except the Submission), becomes the property of the U.S. Government. Participant will not acquire a proprietary interest in the data and/or submitted documentation. The data and documentation will be used for the purposes of the PFT III test.
- 7.2. Participant agrees that they will not file any PFT III-related claim against PFT III sponsors, supporters, staff, contractors, or agency of the U.S. Government, or otherwise seek compensation for any equipment, materials, supplies, information, travel, labor and/or other Participant-provided services.
- 7.3. The U.S. Government is not bound or obligated to follow any recommendations that may be submitted by Participant. The U.S. Government, or any individual agency, is not bound, nor is it obligated, in any way to give any special consideration to PFT III Participant on future contracts or other financial assistance.
- 7.4. NIST is conducting PFT III pursuant to 15 U.S.C. § 272 (b)(8), (c)(2), and (c)(14).
- 7.5. By signing this Agreement, Participant acknowledges that they understand that any test details and/or modifications that are provided on the PFT III website supersede the information in this Agreement.
- 7.6. Participant may withdraw from PFT III at any time before their Submission is received by NIST, without their participation and withdrawal being documented on the PFT III website.

- 7.7. NIST will use Participant's Submission only for the agreed-upon PFT III test, and in the event errors are subsequently found, to re-run prior tests and resolve those errors.
- 7.8. NIST agrees not to use Participant's Submission for purposes other than indicated above, without express permission by Participant.

8 Application Submission

- 8.1. Please mail the completed and signed Agreement to:

Proprietary Fingerprint Template III Liaison
National Institute of Standards and Technology
ITL — IAD — Image Group (774.03)
100 Bureau Drive, Mailstop 8940
Gaithersburg, MD 20899-8940

Organization Name

Responsible Party

Full Name

Address (Line 1)

Address (Line 2)

Address (Line 3)

Phone Number	Fax Number	E-mail Address
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Point of Contact

Check if same as Responsible Party above:

Full Name

Address (Line 1)

Address (Line 2)

Address (Line 3)

Phone Number	Fax Number	E-mail Address
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Participant **must** complete the box below per the instructions for transmission of encrypted content to the National Institute of Standards and Technology (NIST), as defined in Section 2 and at <https://nist.gov/itl/iad/image-group/products-and-services/encrypting-softwaredata-transmission-nist>. If preferred, Participant may fax their public key fingerprint to the Proprietary Fingerprint Template III (PFT III) Liaison at (301) 975-5287.

Public Key Fingerprint

Participant

NIST

E229 C361 DDAA 740E A806 F157 D4F4 5808 2A7C B037

With my signature, I hereby request consideration as a Participant in PFT III, and I am authorizing my Organization to participate in PFT III according to the rules and limitations listed in this Agreement.

With my signature, I also state that I have the authority to accept the terms stated in this Agreement.